TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	ATTTORNEY'S DOCKET NUMBER DK-US045254
	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/517144

INTERNATIONAL APPLICATION NO. PCT/JP2004/002912	INTERNATIONAL FILING DATE March 5, 2004	PRIORITY DATE CLAIMED March 5, 2003

TITLE OF INVENTION
COMPRESSOR

APPLICANT(S) FOR DO/EO/US
Toshitaka SHIBAMOTO et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [✓] This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.

2. [ ] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.

3. [✓] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. [✓] The US has been elected (Article 31).

5. [✓] A copy of the International Application as filed (35 U.S.C. 371(c)(2))

    a. [ ] is attached hereto (required only if not communicated by the International Bureau).

    b. [✓] has been communicated by the International Bureau.

    c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).

6. [✓] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

    a. [✓] is attached hereto.

    b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).

7. [✓] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

    a. [ ] are attached hereto (required only if not communicated by the International Bureau).

    b. [ ] have been communicated by the International Bureau.

    c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.

    d. [✓] have not been made and will not be made.

8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. [✓] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. [ ] An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. [✓] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. [✓] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. [✓] A preliminary amendment.

14. [✓] An Application Data Sheet under 37 CFR 1.76.

15. [ ] A substitute specification.

16. [ ] A power of attorney and/or change of address letter.

17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. [ ] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

20. [✓] Other items or information:

    (1) Formal Drawings: 1-6 (6 pages) (2) Form PCT/RO/101 (3) Form PCT/ISA/210- International Search Report
    (4) PCT/IB/304

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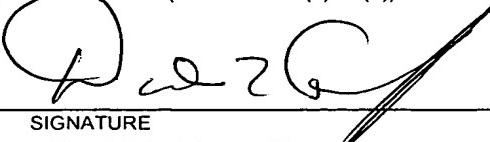Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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21. ☐ The following fees are submitted:		CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	....$1110.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	..$950.00		
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	... $790.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	...$750.00		
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	$100.00	$ 950.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =			

Surcharge of **$130.00** for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		$	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	$	
Total claims	10 -20 =	0	X $18.00	$ 0.00	
Independent claims	3 -3 =	0	X $88.00	$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ $300.00	$	
TOTAL OF ABOVE CALCULATIONS =				$ 950.00	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				$	
SUBTOTAL =				$ 950.00	
Processing fee of **$130.00** for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				$	
TOTAL NATIONAL FEE =				$ 950.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **$40.00** per property +				$ 40.00	.
TOTAL FEES ENCLOSED =				$ 990.00	
				Amount to be refunded:	$
				Amount to be charged:	$

a. ☑ A check in the amount of $ ___990.00___ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. __50-1836__ in the amount of $ ___990.00___ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. __50-1836__. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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